

AMENDMENTS TO THE CLAIMS

Sub 17
1. (Currently amended) In a system in which a hardware target computer system, which has a target instruction set architecture (ISA), executes a target instruction sequence corresponding to a source instruction sequence of a source system, which has a source ISA and is running on the target computer system, a method for handling exceptions comprising the following steps:

- converting the source instruction sequence into the target instruction sequence by binary translation, each instruction in the source instruction sequence being converted into a corresponding translated target instruction sequence, which may consist of a single target instruction;
- determining beginning and ending addresses of each source instruction and each corresponding translated target instruction;
- generating a mapping between the beginning and ending addresses of each source instruction and its corresponding translated target instruction sequence;
- executing the translated target instruction sequence;
- sensing the presence of an exception and determining whether each sensed exception is synchronous or asynchronous, a synchronous exception being defined as an exception resulting from attempted execution of a target instruction and an asynchronous exception being defined as an exception resulting from an event unrelated to the execution of a target instruction; and
- ~~delaying application of the sensed exception until no later than completion of a source instruction corresponding to the translated target instruction sequence being executed at the time of the sensing of the presence of the exception~~
- delaying handling of each asynchronous sensed exception until, and no later than, completion of execution of the target instruction sequence corresponding to the current source instruction when the asynchronous exception is sensed.

2. (Canceled)
3. (Canceled)
4. (Canceled)

1 5. (Currently amended) A method as in claim 4¹, in which synchronous
2 exceptions are of either of two types, namely, transparent and non-transparent, a
3 transparent exception being defined as an exception requiring processing action wholly
4 within the target computer system, and a non-transparent exception being defined as an
5 exception requiring processing that alters a visible state of the source system, further
6 including the following steps:

7 determining whether the sensed synchronous exception is transparent or non-
8 transparent;

9 handling each transparent synchronous exception externally from the source
10 system, the visible state of the source system thereby remaining unaltered; and

11 forwarding to the source system for processing each non-transparent
12 synchronous exception.

1 6. (Original) A method as in claim 5, in which the step of forwarding each
2 non-transparent synchronous exception to the source system includes the step of
3 converting the sensed exception into a simulated source exception in a source
4 instruction stream, which is sensed by and interrupts the source system.

7. (Canceled)

1 8. (Original) A method as in claim 7, further including the following steps:
2 determining a source instruction pointer as a predetermined function of the final
3 target instruction pointer;
4 forwarding and processing the sensed asynchronous exception;
5 resuming execution at the location in the translation cache that corresponds to a
6 current source instruction pointer; and

7 asynchronous exceptions thereby being processed only upon completion of
8 execution of the translated target instructions corresponding to whole source
9 instructions.

1 9. (Original) A method as in claim 8, in which the step of delaying processing
2 of the sensed asynchronous exception further includes the step of simulating execution
3 of the remaining target instructions.

1 10. (Original) A method as in claim 8, in which the step of delaying processing
2 of the sensed asynchronous exception further includes the step of single-stepping the
3 execution of the remaining target instructions.

1 11. (Original) A method as in claim 8, in which the step of delaying processing
2 of the sensed asynchronous exception further includes the following steps:
3 temporarily replacing with a trap generation instruction the initial target
4 instructions in each of the translated target instruction sequences that correspond to
5 target instruction sequences that possibly immediately follow the current target
6 instruction sequence;
7 resuming execution of the current target instruction sequence from the point at
8 which the asynchronous exception was sensed;
9 restoring each of the temporarily replaced instructions with their original content
10 after completion of the processing of the sensed asynchronous exception; and
11 upon reaching the trap generation instruction, forwarding and processing the
12 sensed asynchronous exception.

1 12. (Original) A method/as in claim 8, in which the step of delaying the
2 processing of the sensed asynchronous exception further includes the following steps:
3 temporarily replacing with a trap generation instruction each indirect branch
4 instruction, each indirect branch instruction corresponding to a possible last instruction
5 of the current target instruction sequence;
6 resuming execution of the current target instruction sequence from the point at
7 which the asynchronous exception was processed;
8 restoring each of the temporarily replaced instructions with their original content;
9 and
10 simulating the restored indirect branch instruction.

1 13. (Original) A method as in claim 1, in which:
2 the source system is a virtual machine;
3 a virtual machine monitor that is operationally installed between the virtual
4 machine and the hardware target computer system, the virtual machine thereby running
5 on the virtual machine monitor;
6 the steps of converting the source instruction sequence into the target instruction
7 sequence by binary translation, executing the translated target instruction sequence,
8 sensing the presence of an exception, and delaying application of the sensed exception,
9 are carried out by the virtual machine monitor.

1 14. (Original) A method as in claim 1, in which the source ISA is identical to
2 the target ISA.

1 15. (Currently amended) In a system in which a hardware target computer
2 system, which has a target instruction set architecture (ISA), executes a target
3 instruction sequence corresponding to a source instruction sequence of a source
4 system, which has a source ISA and is running on the target computer system, a
5 method for handling exceptions comprising the following steps:

6 converting the source instruction sequence into the target instruction sequence
7 by binary translation, each instruction in the source instruction sequence being
8 converted into a corresponding translated target instruction sequence, which may
9 consist of a single target instruction;

10 executing the translated target instruction sequence;

11 sensing the presence of an exception,

12 each exception being of either of two types -- synchronous and
13 asynchronous -- a synchronous exception being defined as an exception resulting from
14 attempted execution of a target instruction and an asynchronous exception is defined as
15 an exception resulting from an event unrelated to the execution of a target instruction,

16 synchronous exceptions being of either of two types, namely, transparent
17 and non-transparent, a transparent exception being defined as an exception requiring
18 processing action wholly within the target computer system, and a non-transparent
19 exception being defined as an exception requiring processing that alters a visible state
20 of the source system;

21 determining whether each sensed exception is synchronous or asynchronous;

22 determining whether each sensed synchronous exception is transparent or non-
23 transparent;

24 upon sensing the presence of an asynchronous exception during execution of a
25 current one of the translated target instruction sequences, delaying processing of the
26 sensed asynchronous exception until completion of the remaining target instructions in
27 the current translated target instruction sequence;

28 determining beginning and ending addresses of each source instruction and
29 each corresponding translated target instruction;

30 generating a mapping between the beginning and ending addresses of each
31 source instruction and its corresponding translated target instruction sequence;

32 handling each transparent synchronous exception externally from the source
33 system, the visible state of the source system thereby remaining unaltered;

34 forwarding to the source system for processing each non-transparent
35 synchronous exception;

36 determining a source instruction pointer as a predetermined function of the final
37 target instruction pointer;

38 forwarding and processing each sensed asynchronous exception;

39 resuming execution at the location in the translation cache that corresponds to a
40 current source instruction pointer, asynchronous exceptions thereby being processed
41 only upon completion of execution of the translated target instructions corresponding to
42 whole source instructions;

43 ~~delaying application of the sensed exception until no later than completion of a~~
44 ~~source instruction corresponding to the translated target instruction sequence being~~
45 ~~executed at the time of the sensing of the presence of the exception~~

46 delaying handling of each asynchronous sensed exception until, and no later
47 than, completion of execution of the target instruction sequence corresponding to the
48 current source instruction when the asynchronous exception is sensed;

49 in which:

50 the source system is a virtual machine;

51 a virtual machine monitor that is operationally installed between the virtual
52 machine and the hardware target computer system, the virtual machine thereby running
53 on the virtual machine monitor; and

54 the steps of converting the source instruction sequence into the target instruction
55 sequence by binary translation, executing the translated target instruction sequence,
56 sensing the presence of an exception, and delaying application of the sensed exception,
57 are carried out by the virtual machine monitor.

1 16. (Currently amended) A system for virtualizing a computer system using
2 binary translation comprising:

3 a hardware target computer system that has a target instruction set architecture
4 (ISA) and executes a target instruction sequence;

5 a source system that has a source ISA and a source instruction sequence;

6 ~~a binary translation means for translator~~ converting the source instruction
7 sequence into the target instruction sequence by binary translation, each instruction in
8 the source instruction sequence being converted into a corresponding translated target
9 instruction sequence, which may consist of a single target instruction; and

10 ~~an exception handling means for handler~~ sensing the presence of an exception
11 ~~and for delaying application of the sensed exception until no later than completion of a~~
12 ~~source instruction corresponding to the translated target instruction sequence being~~
13 ~~executed by the target computer system at the time of the sensing of the presence of~~
14 ~~the exception~~ delaying handling of each asynchronous sensed exception until, and no
15 later than, completion of execution of the target instruction sequence corresponding to
16 the current source instruction when the asynchronous exception is sensed.

1 17. (Currently amended) A system as in claim 16, further including a map
2 containing mapping means ~~for generating~~ a mapping between beginning and ending
3 addresses of each source instruction and its corresponding translated target instruction
4 sequence.

1 18. (Currently amended) A system as in claim 17, in which:
2 each exception may be of either of two types -- synchronous and asynchronous --
3 a synchronous exception being defined as an exception resulting from attempted
4 execution of a target instruction and an asynchronous exception being defined as an
5 exception resulting from an event unrelated to the execution of a target instruction; and
6 ~~the exception handling means is further provided for determining handler further~~
7 determines whether each sensed exception is synchronous or asynchronous.

1 19. (Currently amended) A system as in claim 18, in which:
2 synchronous exceptions are of either of two types, namely, transparent and non-
3 transparent, a transparent exception being defined as an exception requiring processing
4 action wholly within the target computer system, and a non-transparent exception being
5 defined as an exception requiring processing that alters a visible state of the source
6 system; and
7 the exception ~~handling means~~ handler is further provided:
8 for determining whether the sensed synchronous exception is transparent
9 or non-transparent;
10 for handling each transparent synchronous exception externally from the
11 source system, the visible state of the source system thereby remaining unaltered; and
12 for forwarding to the source system for processing each non-transparent
13 synchronous exception.

1 20. (Currently amended) A system as in claim 19, in which the exception
2 ~~handling means~~ handler is further provided for converting the sensed exception into a
3 simulated source exception in a source instruction stream, which is sensed by and
4 interrupts the source system.

1 21. (Currently amended) A system as in claim 19, in which the exception
2 ~~handling means~~ handler is further provided, upon sensing the presence of an
3 asynchronous exception during execution of a current one of the translated target
4 instruction sequences, for delaying processing of the sensed asynchronous exception
5 until completion of the remaining target instructions in the current translated target
6 instruction sequence.

1 22. (Currently amended) A system as in claim 19, in which the exception
2 ~~handling means handler~~ is further provided
3 for determining a source instruction pointer as a predetermined function of the
4 final target instruction pointer;
5 for forwarding and processing the sensed asynchronous exception; and
6 for resuming execution at the location in the translation cache that corresponds
7 to a current source instruction pointer, asynchronous exceptions thereby being
8 processed only upon completion of execution of the translated target instructions
9 corresponding to whole source instructions.

1 23. (Currently amended) A system as in claim 16, in which:
2 ~~in which the source system is a virtual machine;~~
3 the system further includes a virtual machine monitor that is operationally
4 installed between the virtual machine and the hardware target computer system, the
5 virtual machine thereby running on the virtual machine monitor; and
6 the binary ~~translation means translator~~ and exception ~~handling means is handler~~
7 are included within the virtual machine monitor.

1 24. (Original) A method as in claim 16, in which the source ISA is identical to
2 the target ISA.